

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

x  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 54593, 54594,  
: 54595, 54596, 54604, 54605,  
: 54606, 54608, 54613, 54614,  
: 54619, 54620

X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
  ) ss.:  
COUNTY OF NEW YORK    )

KONSTANTINA HAIDOPOULOS, being duly sworn, deposes and says:

1. I am employed as a Senior Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 30, 2017, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated January 30, 2017, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Konstantina Haidopoulos  
Konstantina Haidopoulos

Sworn to before me this  
31<sup>st</sup> day of January, 2017

/s/ Regina Amporfro

Notary Public, State of New York

No. 01AM6064508

Qualified in Bronx County

Commission Expires September 24, 2017

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000116006233 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000156431



DEUTSCHE BANK AG, LONDON  
TRANSFEROR: PRIME CAPITAL MASTER SPC, GOT WAT MAC  
ATTN: RICH VICHADITH  
60 WALL STREET, 3RD FLOOR  
NEW YORK, NY 10005

Please note that your claim # 15922-27 in the above referenced case and in the amount of \$263,827.75 allowed at \$235,000.00 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: DEUTSCHE BANK AG, LONDON  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD., SUITE 2950  
LOS ANGELES, CA 90067

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 54596 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/30/2017 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 30, 2017.

## **EXHIBIT B**

Claim Name	Address Information
BANCA AKROS SPA	ATTN: MR. LUCA GANDOLFI, VIA EGINARDO, 29, MILAN 20149 ITALY
BANCA PASSADORE & C. S.P.A.	TRANSFEROR: BANCA AKROS SPA, ATTN: MAURO GATTI, VIA ETTORE VERNAZZA 27, GENOVA 16121 ITALY
DEUTSCHE BANK AG, LONDON	TRANSFEROR: WATERSTONE MARKET NEUTRAL MASTER FUND, LTD., ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: WATERSTONE MKT NEUTRAL MASTER FUND, LTD, ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: PRIME CAPITAL MASTER SPC, GOT WAT MAC, ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: WATERSTONE MF FUND, LTD., ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: WATERSTONE MKT NEUTRAL MASTER FUND, LTD, ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: WATERSTONE MARKET NEUTRAL MASTER FUND, LTD., ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: WATERSTONE MF FUND, LTD., ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: PRIME CAPITAL MASTER SPC, GOT WAT MAC, ATTN: RICH VICH AIDITH, 60 WALL STREET, 3RD FLOOR, NEW YORK, NY 10005
DEUTSCHE BANK AG, SINGAPORE	ONE RAFFLES QUAY #20-00, SOUTH TOWER, SINGAPORE 048583 SINGAPORE
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN LHMN SPV, LP	TRANSFEROR: DEUTSCHE BANK AG, LONDON, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD., SUITE 2950, LOS ANGELES, CA 90067
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: PERMAL YORK, LTD., ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
GOLDMAN SACHS & CO.	TRANSFEROR: YORK CAPITAL MANAGEMENT, LP, ATTN: THIERRY C. LE JOUAN, 30 HUDSON STREET, 4TH FLOOR, JERSEY CITY, NJ 07302
HORIZON II INTERNATIONAL, LTD	HSBC HOUSE, 68 WEST BAY ROAD, PO BOX 1109 GT, GRAND CAYMAN KY1-1102 CAYMAN ISLANDS
HORIZON II INTERNATIONAL, LTD	CHADBOURNE & PARKE LLP, ATTN: DAVID LEMAY, CHRISTY RIVERA, ESQS., 30

Claim Name	Address Information
HORIZON II INTERNATIONAL, LTD	ROCKEFELLER PLAZA, NEW YORK, NY 10112
HORIZON II INTERNATIONAL, LTD	HSBC BANK USA, NATIONAL ASSOCIATION, CORPORATE TRUST & LOAN AGENCY, ATTN: SANDRA E. HORWITZ, 452 5TH AVENUE, NEW YORK, NY 10018
IFIGEST BANK	TRANSFEROR: UBS AG, PIAZZA SANTA MARIA SOPRANO, 1, FIRENZE ITALY
PERMAL YORK, LTD.	ATTN: ADAM SEMLER, OPERATIONS DEPARTMENT, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
PERMAL YORK, LTD.	STROOCK & STROOCK & LAVAN LLP, ATTN: IRINA GOMELSKAYA, ESQ., 180 MAIDEN LANE, NEW YORK, NY 10018
PERMAL YORK, LTD.	TRANSFEROR: BARCLAYS BANK PLC, C/O YORK CAPITAL MANAGEMENT, ATTN: MARGARET MAURO, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
PERMAL YORK, LTD.	ATTN: ADAM SEMLER, OPERATIONS DEPARTMENT, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
PERMAL YORK, LTD.	STROOCK & STROOCK & LAVAN LLP, ATTN: IRINA GOMELSKAYA, ESQ., 180 MAIDEN LANE, NEW YORK, NY 10018
PERMAL YORK, LTD.	ATTN: ADAM SEMLER, OPERATIONS DEPARTMENT, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
PERMAL YORK, LTD.	STROOCK & STROOCK & LAVAN LLP, ATTN: IRINA GOMELSKAYA, ESQ., 180 MAIDEN LANE, NEW YORK, NY 10018
PERMAL YORK, LTD.	ATTN: ADAM SEMLER, OPERATIONS DEPARTMENT, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
PERMAL YORK, LTD.	STROOCK & STROOCK & LAVAN LLP, ATTN: IRINA GOMELSKAYA, ESQ., 180 MAIDEN LANE, NEW YORK, NY 10018
PERMAL YORK, LTD.	ATTN: ADAM SEMLER, OPERATIONS DEPARTMENT, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
PERMAL YORK, LTD.	STROOCK & STROOCK & LAVAN LLP, ATTN: IRINA GOMELSKAYA, ESQ., 180 MAIDEN LANE, NEW YORK, NY 10018
SAIKYO SHINKIN BANK	TRANSFEROR: HORIZON II INTERNATIONAL, LTD,
UBS AG	TRANSFEROR: DEUTSCHE BANK AG, SINGAPORE, ATTN: MR. HUGO KOLLER, BAHNOFSTRASSE 45, ZURICH 8001 SWITZERLAND
UBS AG	BAHNHOFSTR. 45, ATTN: HUGO KOLLER, ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, Q9C/O5GC, P.O. BOX, ZURICH 8098 SWITZERLAND
YORK CAPITAL MANAGEMENT, LP	TRANSFEROR: BARCLAYS BANK PLC, C/O YORK CAPITAL MANAGEMENT, ATTN: MARGARET MAURO, 767 FIFTH AVENUE, 17TH FLOOR, NEW YORK, NY 10153

<b>Total Creditor Count 46</b>
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